



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:		
)	Before the Examine	r
John Mulloy et al.	Not Yet Assigned	
)	)	
Serial No. 10/705,737	) Group Art Unit 374	8
)	)	
Filed November 11, 2003	)	
)	Our Ref.: 27049-20	)
VADIABLE GEOMETRY TURBINE	`	

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on

September 14, 2004

(Date of Deposit)

John H. Allie

Name of Registered Representative

Signature
September 14, 2004
Date of Signature

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. §1.56, Applicants wish to bring to the attention of the Examiner the references listed on the enclosed Information Disclosure Statement. These references have not been previously submitted in this application, nor heretofore cited by the examiner. Copies of the foreign references cited on the enclosed 1449 form are enclosed herewith, and no copies of the U. S. references cited are enclosed.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to the patentability as defined in §1.56(b).

This Statement is being submitted with the Request for Continued Examination filed herewith. It is believed that no fee is required for consideration of the submitted item. Should

any fee be required, however, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

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Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known				
Application Number	10/705,737	_		
Filing Date ,	November 17, 2003			
First Named Inventor	John Mulloy et al.	_		
Group Art Unit	3748			
Examiner Name				
Attorney Docket Number	27049-20			

U.S. PATENT DOCUMENTS					
Examiner Cite Initials No.1		Document Number Number-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
		US-3,221,767	12-07-1965	McCarty et al.	
		US-3,384,338	05-21-1968	Dermody	
		US-3,511,470	05-12-1970	Beckett et al.	
		US-4,292,807	10-06-1981	Rannenberg	
		US-4,473,223	11-27-1990	Franklin	
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		US-6,085,525	07-11-2000	Hakansson et al.	
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		US-			

		Publication Date	Name of Patentee or Application of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant	T <sup>4</sup>
	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known)	MM-DD-YYYY	Document	Figures Appear	
	GB-1,138,941	01-01-1969			
	GB-2 044 860	10-22-1980			_
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Examiner Signature	Date Considered	

EXAMINER: Initial II reference considered, whether or not cutation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.s. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. Kind of document by the apOpropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.